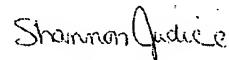


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Allen E. Norton et al.
Date Filed: March 16, 2004
Title: ROOF ASSEMBLY AND AIRFLOW
MANAGEMENT SYSTEM FOR A TEMPERATURE
CONTROLLED RAILWAY CAR

MAIL STOP PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service as Express Mail No. EV334589289US addressed to: Mail Stop Patent Application, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.



Shannon Justice

March 16, 2004

Date

Dear Sir:

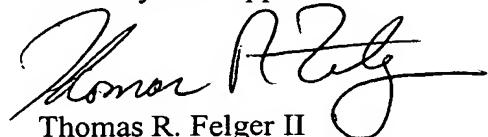
INFORMATION DISCLOSURE STATEMENT

Applicants respectfully request, pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form, and previously cited in U.S. Patent Application Serial No. 10/071,173, entitled "*Roof Assembly and Airflow Management System for a Temperature Controlled Railway Car*," filed February 8, 2002, be considered and cited in the examination of the above-identified divisional application. Pursuant to 37 C.F.R. § 1.98(d), a copy of the references are not being furnished. Furthermore, pursuant to 37 C.F.R. §§1.97(g) and (h), no representation is made that these references are material to the patentability of the present application.

Applicants believe no fees are due for this Information Disclosure Statement, however, the Commissioner is hereby authorized to charge any fees to Deposit Account No. 02-0384 of Baker Botts L.L.P. in order to effectuate this filing.

Respectfully submitted,

BAKER BOTT S L.L.P.
Attorneys for Applicants



Thomas R. Felger II
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Date: 16 MAR 2004

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PTO-1449 Information Disclosure Citation in an Application	Application No.	Applicant(s)		
	Unsigned	Norton et al.		
	Docket Number 091078.1289	Group Art Unit Unassigned	Filing Date March 16, 2004	

U.S. PATENT DOCUMENTS

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A.	3777430	12/11/73	Tischuk	52	309	8/30/72
	B.	4404057	9/13/83	Morrison et al.	156	324	9/8/81
	C.	5274979	1/4/94	Tsai	52	595	12/22/92
	D.	5677029	10/14/97	Prevorsek et al.	428	113	12/12/96
	E.	5716487	2/10/98	Sumerak	156	359	6/3/96
	F.	5765485	6/16/98	Thoman et al.	105	404	7/19/96
	G.	5988074	11/23/99	Thoman	105	404	12/23/97
	H.	6138580	10/31/00	Thoman	105	396	2/9/98
	I.	6251185	6/26/01	Morrison et al.	118	681	4/2/99
	J.	6290279	9/18/01	Haight et al.	296	97.23	6/25/99

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	K.							
	L.							
	M.							
	N.							

NON-PATENT DOCUMENTS

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
O.	Trinity Vision News Letter - Railcar News from Trinity Industries, Fall 2000 pp 1-8	2000
P.		
Q.		
R.		

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

PTO-1449		Application No. Unassigned	Applicant(s) Norton et al.	
Information Disclosure Citation in an Application		Docket Number 091078.1289	Group Art Unit Unassigned	Filing Date March 16, 2004

U.S. PATENT DOCUMENTS

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A.	3003810	10/10/61	G.E. Kloote et al.	296	31	2/2/56
	B.	3142265	7/28/64	M.J. Ford	105	423	9/23/60
	C.	3187853	6/8/65	K.F. Glaser et al.	189	34	7/6/60
	D.	3301147	1/31/67	W.A. Clayton et al.	-	-	7/22/63
	E.	3711148	1/16/73	Hindin	296	28	4/30/71
	F.	4122641	10/31/78	Bard et al.	52	403	7/28/77
	G.	5277011	1/11/94	Serrano Martin	52	588	7/10/92
	H.	5403063	4/4/95	Sjostedt et al.	296	187	5/21/93
	I.	5730485	3/24/98	Sjostedt et al.	296	182	6/7/95
	J.	6000342	11/14/99	Thoman et al.	105	413	12/5/97
	K.	6138580	10/31/00	Thoman	105	396	2/9/98
	L.	6233892	5/22/01	Tylman	52	309.12	8/3/99

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES
	M.						
	N.						
	O.						
	P.						

NON-PATENT DOCUMENTS

		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
	Q.		
	R.		
	S.		
	T.		

EXAMINER	DATE CONSIDERED
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